

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	220321US6
	First Inventor or Application Identifier	Takashi SASAKI, et al.
	Title	OPTICAL DISC DEVICE AND CONTROL METHOD FOR OPTICAL DISC DEVICE
Assignee Name:		SONY CORPORATION
Assignee Address:		7-35, Kitashinagawa 6-chome, Shinagawa-ku, Tokyo, Japan

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents</small>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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<input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	<b>ACCOMPANYING APPLICATION PARTS</b>
<input checked="" type="checkbox"/> Specification Total Sheets <b>74</b>	
<input checked="" type="checkbox"/> Formal Drawing(s) (35 U.S.C. 113) Total Sheets <b>9</b>	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. <input type="checkbox"/> Oath or Declaration Total Pages <input type="checkbox"/>	8. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76
a. <input type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 17 completed)	10. <input type="checkbox"/> English Translation Document (if applicable)
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).	11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
5. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	12. <input type="checkbox"/> Preliminary Amendment
<input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	13. <input checked="" type="checkbox"/> White Advance Serial No. Postcard
a. <input type="checkbox"/> Computer Readable Form (CRF)	14. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (1) (if foreign priority is claimed)
b. Specification or Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper	15. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27
c. <input type="checkbox"/> Statements verifying identity of above copies	16. <input checked="" type="checkbox"/> Other: Request for Priority

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:  
Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on

☐ Which was published in English

☐ Which was not published in English

☐ This application claims priority of provisional application Serial No. Filed

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Registration Number 21,124

Docket No. 220321US6

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Takashi SASAKI, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: OPTICAL DISC DEVICE AND CONTROL METHOD FOR OPTICAL DISC DEVICE

**FEE TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

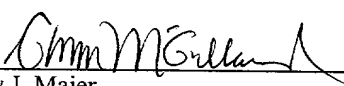
FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	30 - 20 =	10	× \$18 =	\$180.00
INDEPENDENT CLAIMS	6 - 3 =	3	× \$84 =	\$252.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$740.00
TOTAL OF ABOVE CALCULATIONS				\$1,302.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,302.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \_\_\_\_\_ A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,302.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: 3/8/02

  
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